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1774
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: **REINHARD PLASCHKA**

SERIAL NO.: 09/719,559

FILED: March 2, 2001

FOR: ANTIFALSIFICATION PAPER

GROUP ART UNIT: 1774

EXAMINER: L.D. Ferguson

ATTY. REFERENCE: PLAS3001/JEK/JJC

COMMISSIONER FOR PATENTS

P.O. Box 1450

Alexandria, VA 22313-1450

Sir:

The below identified communication(s) or document(s) is(are) submitted in the above application or proceeding:

- | | |
|--|---|
| <input type="checkbox"/> Declaration | <input checked="" type="checkbox"/> Information Disclosure Statement w/PTO-1449 |
| <input type="checkbox"/> Priority Document | <input checked="" type="checkbox"/> Check in the Amount of <u>\$ 180.00</u> |
| <input type="checkbox"/> Formal Drawings | <input type="checkbox"/> Application Data Sheet |

Please debit or credit **Deposit Account Number 02-0200** for any deficiency or surplus in connection with this communication. A duplicate copy of this sheet is provided for use by the Deposit Account Branch.

Small Entity Status is claimed.

23364
Customer Number

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Date: January 5, 2006

Respectfully submitted,

JUSTIN J. CASSELL
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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Application No.: 09/719,559 **Examiner:** L. D. Ferguson
Filing Date: March 2, 2001 **Art Unit:** 1774
First Inventor: Reinhard Plaschka **Customer No.:** 23364
Attorney No.: PLAS3001/JEK/JJC **Confirmation No.:** 3460
For: ANTIFALSIFICATION PAPER

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

INTRODUCTORY COMMENTS

Pursuant to Rule 37 C.F.R. §§ 1.56, 1.97 and 1.98, this Information Disclosure Statement is submitted in the above-identified patent application. A listing of documents to be published on the face of any patent granted from this application is submitted on Form PTO-1449. Any other documents or information submitted for consideration by the Examiner are listed in this paper. A copy of each patent listed on Form PTO-1449 is submitted herewith.

Additional Information Not Listed on Form PTO-1449

The documents listed on Form PTO-1449 are U.S. patent equivalents (with the exception of DE 196 39 229 A1) of European Patent Office publications or German patents that were cited in the examination of German application 198 29 004.7 which is the German equivalent of the pending U.S. application.

Application No.: 09/719,559
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**Concise Statement of Relevancy
(Non-English Language Documents Only)**

DE 196 39 229 discloses compositions comprising pigments having colors which are dependent upon an observation angle. The pigments are incorporated into matrices, and the matrix materials are preferably plastic materials, e.g., those listed in the passage from page 2, line 34 to page 3, line 36. Acrylate containing resins are also mentioned. The compositions are suitable for various purposes, e.g., tiles, coatings for boats, surfboards, facades, floors or as casting materials, and also security features for documents of value (i.e., bank notes or ID cards).

Statement Regarding Translations

An English language translation of DE 196 39 229 A1 is not available to applicants.

Statement of Timeliness

This Information Disclosure Statement is submitted after three months from (i) the filing date of the above-identified U.S. National Patent application, or (ii) after three months from entry into the U.S. National Stage of the above-identified International Application, or (iii) the date of entry into the U.S. National Stage of the International Application that has been assigned the above-identified U.S. Patent application number, whichever applies; and after the mailing date of the first Office Action on the merits of the above-identified application, but prior to issuance of a Final Action, Notice of Allowance, or Action closing prosecution sent in such application. The certification under 37 C.F.R. § 1.97(e) is submitted separately or below, or the fee required under 37 C.F.R. §§ 1.97(c) and 1.17(p) is submitted herewith.



Application No.: 09/719,559
Examiner: L. D. Ferguson
Art Unit: 1774

If any issues remain that may be resolved by a telephone or facsimile communication with the Applicant's Attorney, the Examiner is invited to contact the undersigned at the numbers shown below.

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Respectfully submitted,

A handwritten signature in black ink, appearing to read "Justin J. Cassell".

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B/O Form PTO-1449 U.S. Department of COMMERCE Patent and Trademark OFFICE Information Disclosure Statement by Applicant	Atty. Docket Number PLAS3001/JEK/JJC	Serial Number 09/719,559
Applicant Reinhard PLASCHKA et al.		
Filing Date 28 June 1999	Group 1774	

U.S. Patent Documents

Examiner Initial		Document Number	Date	Patentee/Applicant	Class	Subclass	Filing Date if Appropriate
		5,693,135	12/02/97	Schmid et al.	106	417	
		5,362,315	11/08/94	Müller-Rees et al.	106	493	
		5,267,754	12/07/93	Kaule	283	71	
		4,830,902	05/16/89	Plantenga et al.	428	207	

Foreign Patent Documents

Examiner Initial		Document Number	Publication Date	Country/Agency	Class	Subclass	Translation	
							Yes	No

Other Documents (Including Author, Title, Date, Pertinent Pages, Place of Publication, Etc.)

Examiner	Date Considered
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EXAMINER: Initial if citation is considered, whether or not citation is in conformance with MPEP 609; Draw a line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.